PTO/SB/21 (05-03) Approved for use through 04/30/2003. OMB 0651-0031 rademark Office: U.S. DEPARTMENT OF COMMERCE

TRANSMITTAL		Application Number	10/086,287	
	•	Filing Date	February 28, 2002	
FORM		First Named Inventor	David Wigley,et al.	
(to be used for all correspondence after initial filing)		Art Unit	2131	
		Examiner Name	Unassigned	
otal Number of Pages in This Submission	٦ 5	Attorney Docket Number	PIZY-002	
	ENCL	OSURES (check all that apply)		
Fee Transmittal Form		ment Papers Application)	After Allowance Communication to Group	
Fee Attached	☐ Drawin	ıg(s)	Appeal Communication to Board o Appeals and Interferences	
Amendment / Response	Licensi	ing-related Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)	
After Final		n	Proprietary Information	
Affidavits/declaration(s)		n to Convert to a ional Application	Status Letter	
		of Attorney, Revocation e of Correspondence Address	Other Enclosure(s) (please identify below):	
Express Abandonment Request	1=	nal Disclaimer		
M 1	Request for Refund			
Information Disclosure Statement	CD, Number of CD(s)		RECEIVED	
Certified Copy of Priority Document(s)	Rema	rks	JUN 2 0 2003	
Response to Missing Parts/ Incomplete Application			0011 2 0 2003	
Response to Missing Parts under 37 CFR 1.52 or 1.53		Technology Center		
SIGN	TURE OF	APPLICANT, ATTORNEY, C	OR AGENT	
Firm David B. Ritchie, For Individual name		662		
Signature				
Date 6 3 3				
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Marie This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date

Typed or printed name

Signature

Docket No.: PIZY-002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:

David Wigley, et al.

SERIAL NO.:

10/086,287

FILING DATE:

February 28, 2002

TITLE:

Method, System and Software Product for Restricting Access to

Network Accessible Digital Information

EXAMINER:

Unassigned

ART UNIT:

2131

CERTIFICATE OF FIRST CLASS MAILING

I hereby certify that this paper is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date printed below:

Date: 6/16/03

Name: <u>Manua</u>

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JUN 2 0 2003

Technology Center 2100

COMMISSIONER FOR PATENTS P.O. BOX 1450 ALEXANDRIA, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Each item of information listed in the attached FORM PTO-1449, for which a copy of each is attached, may be material to the examination of the above-identified application and is, therefore, submitted in compliance with the duty of disclosure defined in 37 CFR §§ 1.56, 1.97 and 1.98. The Examiner is requested to make these items of official record in this application.

Docket No.: PIZY-002

This Information Disclosure Statement under 37 CFR §§ 1.56, 1.97 and 1.98 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that any one or more of these items constitutes prior art.

I

This statement is filed pursuant to:

(X) 37 C.F.R. § 1.97(b).

This information disclosure statement is filed either (1) within three months of the filing date of a national application other than a continued prosecution application under §1.53(d); (2) within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application; or (3) before the mailing date of a first office action on the merits, whichever event occurs last.

Accordingly, this information disclosure statement requires no fee and no certification.

() 37 C.F.R. § 1.97(c).

This information disclosure statement is filed after the period specified in 37 C.F.R. § 1.97 (b), but before the mailing date of either (1) a final action under 37 C.F.R. § 1.113; (2) a notice of allowance under 37 C.F.R. § 1.311; or (3) an action that otherwise closes prosecution in the application.

Accordingly, this information disclosure statement requires either the fee specified in 37 C.F.R. § 1.17 (p) for submission of an information disclosure statement under 37 C.F.R. § 1.97 (c) (\$180); or a certification according to 37 C.F.R. § 1.97 (e).

() 37 C.F.R. § 1.97(d).

This information disclosure statement is filed after the period specified in 37 C.F.R. § 1.97 (c).

Accordingly, this information disclosure statement requires the fee specified in 37 C.F.R. § 1.17 (p) to consider an information disclosure statement under 37 C.F.R. § 1.97(d) (\$180), and a certification according to 37 C.F.R. § 1.97(e).

If this statement crosses in the mail with an office action, or is otherwise not in the indicated category of 37 C.F.R. § 1.97, it is respectfully requested that this statement be treated in the next appropriate category and made of record. To the extent required, please treat this paper as a conditional petition for acceptance of the information disclosure statement.

Docket No.: PIZY-002

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(X)	No fee	3 1 C	due
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() The fee specified in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under 37 C.F.R. § 1.97(c) is enclosed (\$180).

Please charge any additional required fee or credit any overpayment to our deposit account number 50-1698. An additional copy of this page is enclosed.

Ш

Pursuant to 37 C.F.R. § 1.97(e), I certify:

- (X) No certification is necessary.
- () (1) Each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement.
- (2) No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c), more than three months prior to the filing of the statement.

Dated: June 13 , 2003

David B. Ritchie Reg. No. 31,562

Respectfully submitted,

THELEN REID & PRIEST LLP

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		rmation Disclosure	Statement by A	nnlicant	Applicant: David	Wigley, et al.		
		•	eets if necessary)	Filed: February 28, 2002 Group: 2131				
		(050 00 10150		U.S. Patent Doci	ıments			
Init.		Document No.	Date	Name	Class	Subclass	Filing	Date
	A	6,092,101	7/18/2000	Birrell et al.	709	206	6/16/1	997
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	В	WO 01/98934	6/20/2002	PCT	G06F	17/00	X	
	C	WO 99/32985	12/22/1997	PCT	G06F	13/38	X	
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